

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT  
APPLICATION

JC931 U.S. PTO



09/21/01

## REQUEST FOR FILING APPLICATION

Under Rule 53(a), (b) &amp; (f)

(Do NOT use for Provisional or PCT Applications)

Use for Design or Utility Applications

## RULE 53(f) NO DECLARATION

Hon. Commissioner of Patents  
Washington, DC 20231

Atty. Dkt.

P 283651

M#

OL97501N-US

Client Ref

Date:

September 21, 2001

Sir:

1. This is a Request for filing a new Patent Application(☐ Design ☒ Utility) entitled:

2. (Complete) Title:

OPTICAL APPARATUS

without a filing fee or Oath/Declaration but for which is enclosed the following:

3. ☒ Abstract 1 page(s).

4. 93 Pages of Specification (only spec. and claims);

5. ☐ Specification in non-English language

6. 20 Numbered claim(s); and

7. ☒ Drawings: 30 sheet(s) ☐ 1 set informal;8. ☒ formal of size: ☒ A4 ☐ 11"

DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

Japan

Application No.	Filing Date	Application No.	Filing Date
(1) 388165/2000	December 21, 2000	(2) 009951/2001	January 18, 2001
(3)		(4)	
(5)		<input type="checkbox"/> See 3 <sup>rd</sup> page for additional priorities	

11. (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. \_\_\_\_\_ / \_\_\_\_\_ filed on \_\_\_\_\_12. ☐ This is a reissue of Patent No. \_\_\_\_\_13. ☐ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete item 14 or 15.)

14. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application by this reference:

No. \_\_\_\_\_ / \_\_\_\_\_ filed \_\_\_\_\_

No. \_\_\_\_\_ / \_\_\_\_\_ filed \_\_\_\_\_

No. \_\_\_\_\_ / \_\_\_\_\_ filed \_\_\_\_\_

No. PCT/ \_\_\_\_\_ / \_\_\_\_\_ filed \_\_\_\_\_

designated the U.S. and that International Application ☐ was ☐ was not published under PCT Article 21(2) in English15. ☐ See the attached Preliminary Amendment, which amends the specification to claim benefit of the above listed US applications16. Extension to date: ☐ concurrently filed ☐ not needed ☐ previously filed17. ☐ Small Entity Status is claimed (pre-filing confirmation required)17(a) ☐ Attached: (No.) Small Entity Statement(s). (Since 9/8/00 Small Entity Statement not essential to make claim)17(b) ☐ See NONPUBLICATION REQUEST under Rule 213(a) attached (Pat-258)

18. ☐ Assignee (optional): \_\_\_\_\_

19. ☒ Attached: Form PTO-1449 ~~with~~ the enclosed cited documents.

20. This application is made by the following named inventor(s) (Double check instructions for accuracy):  
(Listing of inventor(s) not a requirement, but list if known)

(1) Inventor	Kimihiko		NISHIOKA
	First	Middle Initial	Family Name
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	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

(5) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

21. NOTE: FOR ADDITIONAL INVENTORS, "X" box ☐ and list additional inventors on attached sheet (incorporated by reference)

Pillsbury Winthrop LLP  
Intellectual Property Group



00909

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NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments